PTO/SB/21 (08-03)

Approved for use through 08/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless if displays a valid OMB control number. Application Number 10/714542 Filing Date November 14, 2003 **TRANSMITTAL** First Named Inventor KHARE, Anil B. **FORM** Art Unit 1761 for all correspondence after initial filing) **Examiner Name** Helen F. Pratt Total Number of Pages in This Submission Attorney Docket Number CGL02/0444US01 ENCLOSURES (check all that apply) Fee Transmittal Form Drawing(s) After Allowance communication to Technology Center (TC) ☐ Fee Attached Licensing-related Papers Appeal Communication to Board of Appeals and Interferences ☐ Appeal Communication to Group Amendment/Reply Petition (Appeal Notice, brief, Reply Brief) ☐ After Final Petition to Convert to a Provisional □ Proprietary Information Application ☐ Affidavits/declaration(s) of Attorney. ☐ Status Letter Revocation Change of Correspondence Address П **Extension of Time Request** Terminal Disclaimer ☐ Other Enclosure(s) (please Identify below): **Express Abandonment Request** Request for Refund Information Disclosure 冈 CD, Number of CD(s) Statement, PTO 1449 form, Search Report and 5 references Remarks Certified Copy of **Priority Documents** Response to Missing Parts/I Incomplete Application ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Edna Vassilovski OrCargill, Incorporated Individual name Signature Date July 6 2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Kimberly A. Hayes

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

July 10

Date

2004

Signature

**Patent** 

Case No.: CGL02/0444US01

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: Khare, Anil B.

Application No.: 10/714,542

Group Art Unit:

1761

Filed:

November 14, 2003

Examiner:

Helen F. Pratt

Title:

SOLUBLE ISOFLAVONE COMPOSITIONS

## **Information Disclosure Statement**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Services as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

July 6 , 2004 Date

Signed by: Kimberly A. Hayes

Dear Sir:

Pursuant to 37 CFR §§ 1.56 and 1.97(d), Applicant brings to the attention of the Examiner the documents listed on the attached PTO 1449. Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

Under 37 CFR § 1.704(d), I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement.

Under 37 CFR § 1.97(e)(1), I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement.

A copy of the search Report from a foreign counterpart application is enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims

Application No.: 10/714542 Case No.: CGL02/0444US01

in the application and Applicant(s) determine(s) that the cited document(s) do not constitute "prior art" under United States law, Applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

It is believed that no fee is due, as this Information Disclosure Statement is filed within three months from the receipt of the International Search Report. However, in the event a fee is due, please charge any fee or credit any overpayment to Account No. 50-2342.

Respectfully submitted,

JALY 6 2004

Date

By: Edna Vassilovski, Reg. No.: 42,198
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## Substitute for form 1449A/PTO (modified)

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

se as many sheets as necessary)

Page 1 of 1

Application Number	10/714,542
Filing Date	November 14, 2003
First Named Inventor	KHARE, Anil B.
Art Unit	1761
Examiner Name	Helen F. Pratt
Attorney Case Number	CGL02/0444US01

U.S. Patent Documents							
Exam. Init.*	Cite No.	Document Number  Doc. Number-(Kind Code if Known)		Publication Date or Issue Date MM-DD-YYYY	Name of Patentee	Pages, Columns, Lines, Where Relevant Passages or Relevant	
					or Applicant of Cited Document	Figures Appear	
<u>.</u>	A1	US-	5,141,611	08-25-1992	Ford		
	A2	US-	5,821,361	10-13-1998	Waggle et al.		
	А3	US-	2002/0172724	11-21-2002	Forusz et al.		
	A4	US-	2003/0108591	06-12-2003	Meijer et al.		
	A5	US-	2004/0023894	02-05-2004	Hasler-Nguyen et al.		
	A6	US-					
	A7	US-					
	A8	US-		·			
	A9	US-					
	A10	US-					
	A11	US-					

	Foreign Patent Documents							
Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date	Name of Patentee or	Pages, Columns, Lines,	Translation	
		Ctry. Code	Number-KindCode (If known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	(Check if yes)	
	B1							
	B2							
	В3							
	B4							
	B5							
	B6							
	B7							

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS					
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			
	C1				
	C2				
	C3				

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**Date Considered:** 

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.